

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Schatz et al.

**Application No.** 10/654,187

**Filed:** September 2, 2003

**Confirmation No.** Not yet assigned

**For:** ROTATION LOCK DEVICE FOR  
PREVENTING ANY UNDESIRE  
D ROTATION OF A SHAFT OR SHAFT  
SECTION, ESPECIALLY FOR  
HANDPIECES AND CONTRA-ANGLES  
USED I MEDICAL TREATMENTS

**Examiner:** Not yet assigned

**Art Unit:** Not yet assigned

**Attorney Reference No.** 5497-66279

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney  
for Applicant(s)

Date Mailed November 18, 2003

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Listed on the accompanying form PTO-1449 and enclosed herewith is one English-language and one non-English-language document. The non-English-language document is in German. Applicant respectfully requests that these documents be listed as references cited on the issued patent.

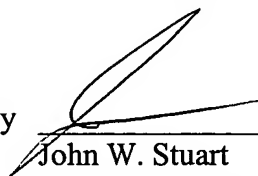
Applicant filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicant to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By



John W. Stuart  
Registration No. 24,540

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446

cc: Docketing

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

NOV 21 2003

<b>Attorney Docket Number</b>	5497-66279
<b>Application Number</b>	10/654,187
<b>Filing Date</b>	September 2, 2003
<b>First Named Inventor</b>	Schatz et al.
<b>Art Unit</b>	Not yet assigned
<b>Examiner Name</b>	Not yet assigned

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials*	Cite No. (optional)	Number	Date	Country
		DE 689 10551 T2	03/02/1989	Germany
		WO 99/12491	03/18/1999	WIPO

**EXAMINER  
SIGNATURE:**

**DATE  
CONSIDERED:**

\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.